



**Scott Stratton**  
**Compliance Expert**  
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## **Professional Experience**

### **USDOT/FMCSA Compliance Expert**

**TRANSPORTATION SAFETY SERVICES, Daphne, AL**

**June, 2018 to present**

- Provides support, guidance, and training to the transportation industry regarding safety and compliance matters related to the application and enforcement of the Federal Motor Carrier Safety Regulations (FMCSRs), and state commercial vehicle safety laws.
- Responsible for performing FMCSA mock-audits consistent with current USDOT/FMCSA standards, methodology, and protocols. Furnishes carriers with technical knowledge during preparation for FMCSA Compliance Investigations and New Entrant Safety Audits. Provides on-site subject matter expertise to the transportation industry during actual FMCSA audits.
- Serves transportation industry partners and legal counsel as a subject matter expert regarding the application and interpretation of the FMCSRs. Provides deposition and trial testimony on a broad range of issues related to the application of the FMCSRs and applicable state safety regulations.
- Consults to the transportation industry to provide “real world” solutions to customers, so those customers can operate more regulatory compliant and safer fleets on our nations roadways.

**USDOT/FMCSA Compliance Program Manager and Investigator (Alabama)**  
**Alabama Law Enforcement Agency (ALEA)/Alabama State Troopers**

**2010 to 2018**

- Provided training to FMCSA Compliance Investigators and subordinates who were selected to work in the Compliance Section of Alabama’s Motor Carrier Safety Unit. The training consisted of, but was not limited to:
  - Training to standard and the field certification of Safety Investigators and New Entrant Safety Auditors.
    - Preparation of FMCSA enforcement cases which led to civil forfeitures, out-of-service orders, and imminent hazard declarations.
  - Training to standard and the field certification of the Commercial Vehicle Safety Alliance (CVSA) North American Standard Level I Inspection Procedure, the North American Standard Passenger Carrier Vehicle Inspection Procedure, and the North American Standard Hazardous Materials/Dangerous Goods Inspection Procedure.
    - In accordance with CVSA’s North American Standard Out-of-Service Criteria, hundreds of commercial motor vehicles and drivers were declared out-of-service and precluded from further operation until the driver or the vehicle remedied their out-of-service condition.
  - Use and functionality of the different FMCSA and state databases: (LETSGO, MOVE, ADVANCE, SafetyNet, MCMIS, Query Central, EMIS, A&I (SMS), A&I (NAS), CSA Outreach, DataQs, InfoSys, SAFER, and L&I).

- Access to the aforementioned FMCSA and state databases were provided to only those individuals who were deemed by the Program Manager.
  - Understanding and use of FMCSA software: (CAPRI, UFA, CASERITE, PROVU, SENTRI, GUARD, MCREGIS, ASPEN).
    - Only those personnel who successfully completed the CVSA initial certification procedures were given access to the aforementioned FMCSA software.
- Provided subject matter expertise (SME) to assist fellow Law Enforcement Officers/Supervisors and FMCSA staff with commercial motor vehicle, hazardous materials safety, and compliance issues.
- Conducted annual “in-service” training to fellow State Troopers, FMCSA Motor Carrier Inspectors, and local law enforcement on regulatory changes for the upcoming year and as part of their maintenance of certification.
- Provided training to the motor carrier industry regarding compliance and safety issues:
  - Alabama Trucking Association
  - Alabama Logging Council
  - Alabama Waste Water Association
  - and many other motor carriers throughout the state
- Responsible for assigning and reviewing at least 500 New Entrant Safety Audits annually.
- Tasked with assigning and reviewing hundreds of Compliance Investigations on USDOT regulated motor carriers across the state of Alabama.
  - Aside from assigning and reviewing Compliance Investigations, each Federal Enforcement Case required extensive review and oversight prior to submission to FMCSA.
- Served as the FMCSA Group Leader on the Motor Carrier Safety Assistance Program Performance Standards, Measurements, and Benchmarks (PMSB) Crash Statistics Subgroup from 2014 – 2015.
- Assigned and performed high-profile post-accident investigations on commercial motor vehicles, drivers, and motor carriers.
- Completed and provided oversight for the completion of Voluntary Consent Orders (VCOs) and Record Consolidation Orders (RCOs) on reincarnated motor carriers seeking to circumvent the FMCSRs.
- Served as a grant writer and administrator while assigned to the ALEA Motor Carrier Safety Unit – Headquarters staff.
  - Ensured proper and timely submission and execution of FMCSA grants (MCSAP BASIC, High Priority, New Entrant, and Innovative Technology Development (ITD) grants) in accordance with § 350 of the FMCSRs and strict governmental oversight.

**USDOT/FMCSA/CVSA certified Level I – Level V inspector, Safety Auditor and Compliance Investigator**

**Alabama Department of Public Safety/Alabama State Troopers**

**2000 to 2018**

- Trained by FMCSA Compliance Investigators, Federal Program Managers, and Division Administrators to perform complex and detailed investigations on commercial transportation facilities, hazardous materials shippers and receivers, passenger carriers, and other stakeholders in the trucking industry.
  - Maintained all roadside inspection and compliance certifications through September 30, 2019 (FY 2019).
- Tasked with the inspection of commercial vehicles transporting passengers and property (hazardous and non-hazardous materials) across the state of Alabama.
- Worked with neighboring/border states to enhance enforcement efforts and learn of other states rules and regulations governing the operation of commercial motor vehicles.
- Performed post-crash inspections as needed on high-profile commercial motor vehicles involved in traffic crashes.
- Lead detailed Compliance Investigations on high-risk motor carriers, carriers subject to non-frivolous complaints, post-crash investigations on Alabama domiciled motor carriers, carrier upgrade requests, and any other motor carrier assigned by the Alabama FMCSA Division Office.

- Provided written correspondence to carrier and public officials regarding the FMCSRs and the application thereof.

### **Education and Professional Organization Appointments**

- Bachelor of Science, Forensic Science, 1993, Jacksonville State University, Jacksonville, AL
- Commercial Vehicle Safety Alliance (CVSA) –
  - Region II Vice-President and Voting Member
  - Crash Data and Investigation Standards Committee
  - Driver-Traffic Enforcement Committee
  - Enforcement and Industry Modernization Committee
  - Passenger Carrier Committee
  - Policy and Regulatory Affairs Committee

### **Career Development Training Courses**

State of Georgia – Basic Police Academy  
 Alabama State Trooper Academy – State Trooper Training  
 Basic Radar Operators Training  
 Hazmat Incident Command School  
 Electronic Tracking Devices  
 Drug Identification  
 Emergency Vehicle Operation  
 High Center of Gravity Vehicle Training  
 DUI Detection  
 Chemical Awareness  
 Highway Drug Interdiction  
 Counter Drug Investigator Course  
 Commercial Vehicle Enforcement Training  
 North American Standard Inspection Driver Examination  
 North American Standard Inspection Vehicle Examination  
 Hours-of-Service Training – updates  
 FEMA – Introduction to the Incident Command System  
 FEMA – ICS for Single Resources and Initial Action Incident Management  
 FEMA – National Response Framework  
 FEMA – National Incident Management System  
 US Office for Domestic Preparedness – Law Enforcement Response to WMD Incidents  
 US Department of Energy – First Responder Radiological Transportation Emergencies Course  
 Commercial Motor Vehicle Criminal Interdiction  
 Legal Updates – ALEA  
 Biased Based Policing  
 E-Crash Training  
 U.S. Department of Homeland Security – U.S. Immigration and Customs Enforcement 287 (g) Delegation of Authority Training Program  
 North American Standards Advanced Level I Course  
 Passenger Vehicle Inspection  
 General Hazardous Materials  
 New Entrant Safety Audit Course  
 Off-site New Entrant Training  
 Compliance Review  
 CSA Phase I – Phase III Training  
 Advanced Roadside Impaired Driving Enforcement (ARIDE)  
 FMCSA’s Enhanced Investigative Techniques Training (EIT)

Construction Zone Safety Training  
Dynamics of Supervision  
Defensive Driving  
Act 2011-535 Immigration Law Update  
Hazardous Materials Training – refresher  
Blood Borne Pathogens  
Personal Radiation Detector Course  
Grants Management Database 4.2  
First Line Supervisor Course  
MCSU Revenue Training 2014  
Dyed Fuel Testing Training (Revenue)  
Public Service Commission Laws  
Alabama Ethics Law Training  
Alabama Power Safe-T-Zone Training  
Electronic Logging Device Training Phase I – Phase III  
Electronic On-Board Recorders on Commercial Motor Vehicles  
SFST Training

### **Awards & Special Recognition**

1995 – Apprehension of four armed robbery suspects  
1998 – Apprehension of two armed robbery suspects while on patrol  
1998 – Alabama Troop “B” Total Traffic Enforcement Award (drug arrests, hazardous arrests, DUI arrests, and commercial vehicle arrests)  
2000 – Alabama Troop “C” Top Traffic Enforcement Award  
2001 – Alabama Retail Association’s Law Enforcement Officer of the Year Award  
2002 – Traffic stop leading to the arrest of multiple individuals on drug, weapons, and money laundering charges  
2003 – Alabama Troop “C” Top Drug Related Arrests from Traffic Stops  
2004 – Alabama Troop “C” Top Traffic Enforcement Award  
2004 – Alabama Troop “C” Top Drug Related Arrests from Traffic Stops  
2006 – Alabama Troop “C” Highest Contact Average  
2007 – Alabama Troop “E” Most Hazardous and Non-Hazardous arrests for the year  
2016 – Certificate of Appreciation from USDOT/FMCSA as the Group Leader for the PMSB Crash Statistics Subgroup